Please tear here

INANI SECURITIES LIMITED

Documents Required for Opening a Demat and Trading Account

Individual

1. Pan card copy
2. Aadhaar card copy
3. Passport size photo (2)
4. Cancel cheque dully sign by client
5. Latest bank statement latest 3 month
6. Total 23 signature in KYC & All documents should be sign by the client
7. Nominee Pan or Aadhar card required
8. Email id and Mobile number required
9. Mention Income Details on Page No. 6
10. ITR Copy required

ACKNOWLEDGEMENT

To,
The Compliance Officer
INANI SECURITIES LIMITED,
G-15, Raghava Ratna Towers
Chirag Ali Lane, Abids
Hyderabad-500 001.

Dear Sir/Madam,

With reference to my/our request to register with you for dealing in Cash/Derivatives/Currency Derivatives segment, and/or to open a Demat account, I/we hereby declare and confirm as under.

1. I/We have received Welcome Letter from you and have noted following
Unique Client Code: and BOID.

2. I/We confirm that the particulars mentioned in Welcome Letter are correct and same as filled by me/us in the Account Opening Form.

3. I/We shall check my/our email id as provided to you, on regular basis. I/We accept that all communications like bills/contract notes/statements/margin statements etc. sent to me/us on this email-id shall be legal and binding upon me/us. For non-receipt of any of these documents I/We shall intimate you in writing either through e-mail or letter.

4. My/Our Mobile number provided to you for the purpose of SMS alert for trade confirmation, DPtransaction, Research messages and any other alerts shall remain active.

5. I/We confirm that I/we am/are aware of risks involved with regard to dealing in the stock market.

6. I/We shall intimate you in writing any change in the email-id, Mobile No., address, bank details or any other personal details.

7. I/We have received, copies of the following relevant documents and I/we have read and understood them

a. Account Opening Documents.
    * Part II-Trading Account related Details.
    * Additional KYC form for opening a demat account.
    * Part 1 - KRA KYC.
b. Option form for issue of DIS booklet
c. Tariff sheet for Trading and DP services
d. Policies & Procedures
e. Rights and Obligations
f. Risk Disclosure Document
g. Guidance note
h. Non-mandatory Documents
i. Rights and Obligations of Beneficial Owner and Depository Participant.

Thanking you.

Signature❶ _____ Name:_____

Centre Code: _____ Centre Name _____

INANI SECURITIES LIMITED™
Relationships Beyond Investments

INANI SECURITIES LIMITED (Ver: 1.2)
Registered & Correspondence office address:
G-15, Raghava Ratna Towers,Chirag Ali Lane, Abids,
Hyderabad – 500 001 .
Phones: +91 040 23201279
Fax: +91 040 23201279 • **E-mail:** info@inanisec.in

Member

Member NSE: Membership ID No.08571| SEBI Registration No. INZ000264734 (Cash)/ INZ000264734 (F&O) | INZ000264734 (Currency)|
BSE: Membership ID No. 941 | SEBI Registration No INZ000264734 (Cash)| SEBI Registration No INZ000264734
Clearing Member for F&O and Currency Segment: - Globe Capital Market Ltd
NSE: (F&O): (SEBI Registration No. INZ000264734 NSE (CDS) : (SEBI Registration No. INZ000264734)
Globe Capital Market LTD. 404-406 Nipun Tower,. Karkarduma Commercial Complex,. Karkarduma,. Delhi-110092 Tel :- 011-43666566,

Compliance officer: Mr. Lakshmikanth Inani| Phone: +91 040 40209978|
Email: compliance@inanisec.in, CEO: Mr Lakshmikanth Inani | Phone: +91 040 23201279 |
Email: lk@inanisec.in For any grievance/dispute please contact INANI SECURITIES LTD
at the above address or call at the number mentioned

Depository Participant

Central Depository Services (I) Ltd. DP ID No. 12036200 DP SEBI Reg. No. IN-DP-CDSL-269-2004
Phone: +91 9866343660 Fax: (040) 23201279 Email: info@inanisec.in
For any grievance/dispute please contact Compliance Officer Mr Lakshmikanth Inani Phone: 022 9866343660 Email: demat@inanisec.in or compliance@inanisec.in , investors@inanisec.in In case not satisfied with the response, please contact CDSL at: Phone: 022 2305 8640 Email complaints@cdslindia.com, BSE- is@bseindia.com ,NSE- ignse@nse.co.in

INDEX OF DOCUMENTS

S.No.	Name of the Document	Brief Significance of the Document	Page No
	(A) MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES		
1	Account Opening From	**Part I** - KYC form: Document captures the basic information about the Applicant/Sole/First Holder, Second Holder, Third Holder & Guardian	1-3
		Part II - Document captures the additional information about the constituent relevant to trading account	4-4
2	Tariff Sheet	A) Document detailing the amount/Rate of Brokerage charges	4
		B) Document detailing the other charges levied on the client for trading on the stock exchange(s)	
	(B) - VOLUNTARY DOCUMENTS		
3	Voluntary documents as provided by Inani Securities Ltd.		9
	(C) MANDATORY ADDITIONAL DOCUMENTS FOR OPENING DEMAT ACCOUNT		
4	Tariff Sheet	Document detailing the charges payable by Beneficiary Owner	3
5	Option Form	Option form for issue of DIS booklet	3
6	Additional KYC form for opening a Demat account	Document captures the additional information about the constituent relevant to Demat account	11-12
7	Declaration	Document for declaration under FEMA Regulations & information about change in residence address by NRI clients	15
	(D) OTHER MANDATORY DOCUMENTS (Provided to clients as a separate booklet)		
8	Policies and Procedures	Document describing significant Policies and Procedures of Inani Securities Limited	
9	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	
10	Risk Disclosure Document	Document detailing risks associated with dealing in the securities market.	
11	Guidance note	Document detailing dos and don'ts for trading on exchange, for the education of the investors.	
12	Rights and Obligations of Beneficial Owner and DP as prescribed by SEBI and Depositories Agreement		

NOTE: ISL hereby states and informs that it undertakes Proprietary trading in addition to client based trading.

INVESTOR DOS & DON'TS

Dear Customer,

Welcome to Inani Securities Limited. We thank you for giving us the opportunity to serve you. It shall be our endeavor to provide you truly satisfying service and look forward to a long and fruitful business relationship. At the same time we request you to please go through the following instructions for mutual benefit and healthy relationship. For any further clarification please mail us at info@inanisec.in

1. **Personal Details:**
   - You shall be receiving Welcome Letter from us soon after your registration with us. Please note down the code/s allotted to you for dealing through us.
   - Verify all your personal details mentioned in the letter. If there are any discrepancies in any of these details bring to our notice.
   - In future if there is any change in personal details please intimate us in writing immediately with necessary proofs.
2. **Investment Alerts:**
   - All investments carry risk, the degree of which varies according to the investment strategy adopted.
   - Adopt trading/investment strategies based on your sound reasoning after understanding the associated risks and rewards. Also be aware of the risk associated with your positions and margin calls on them.
   - Access the websites of Companies and Regulators to assess the risk-return profile of the investment as well as the liquidity and safety aspects.
   - Keep track of relevant Government Policies from time to time.
   - Avoid illiquid shares or penny stocks or Z or T2T category stock.
   - Do not fall prey to market rumors.
   - Do not go by any explicit/implicit promise made by analyst/advisors/experts/ market intermediary.
   - Do not go by the publicly information/reports/ predictions made in various print and electronic forms without verification. Also do not believe in any promises made about "Assured Returns" by ISL employees/Sub-brokers/Authorised Persons /Remisier.
3. **Trading:**
   - Go through all Rules, Bye-Laws, Regulations, Circulars & Directives issued by respective Exchanges/ Regulatory Bodies.
   - Give precise and clear instruction while placing, modifying or cancelling orders preferably in writing.
   - Do not execute "OFF MARKET TRADES". All trades should be executed through the stock exchange/s only.
   - Do not buy/sell shares of other family members or any other entity in your account.
   - Do not deal with unregistered intermediaries even if their charges are lower and/or margins are lesser.
   - Do not match/synchronize trades with any other person. Do not indulge in any trading activity which results in disturbance of the market equilibrium in any manner including manipulation of price.
   - Avoid placing order in the last minute of the market as it may not get executed due to technical problems.
4. **E-Mail:**
   - Check your email account mentioned in the Account Opening form regularly as all communications like bills /contract notes/statements etc. shall be sent to you on this email-id and shall be legal and binding upon you.
5. **Internet Trading:**
   - While trading through internet maintain secrecy of your password since you will be solely responsible for all transactions effected from its usage.
   - Change your password every fortnight.
6. **Confirmation of Trades:**
   - Ensure that you receive digital contract notes through E-mail for trades done by you on the same day.
   - Verify all details in contract notes immediately on receipt. If you do not receive the same or find any discrepancy bring the same to our notice. Cross check your trades with details available on our website www.inanisec.in
   - Insist on periodical statement of accounts for your scrutiny.
   - Do not accept unsigned/duplicate contract note/ confirmation memo.
7. **Delivery Instruction Slips (DIS):**
   - Keep DIS book issued by DP in safe custody.
   - Don't leave your BLANK or SIGNED DIS book in the hands of any employee of DP/ISL/sub-broker/Authorised Person/Remisier.
8. **Payments/Receipts of Funds and Securities:**
   - Deliver the securities in case of sale or pay money in case of purchase before the pay-in day.
   - Make all payments of funds and delivery of securities from own bank/DP account respectively.
   - Do not issue cheques or deliver securities from family members'/ any other entity's accounts.
   - Issue cheques in favour of "**INANI SECURITIES LTD**." for DP charges and payments of capital/derivative segment.
   - Do not issue cheques or deliver securities in favour of any employee of the broker/branch/sub broker/ Authorized Person or any other person in respect of transaction.
   - Do not make/receive payment in cash as it is not permissible.
9. **Margins (if applicable):**
   - Pay mark to market margins on your positions on daily basis to us within the prescribed time.
   - Please maintain sufficient margins In case of shortfall in margin due to delay in payments by you, ISL will square up outstanding position. Also the penalty imposed by the Exchange will be debited to your account.
10. **Auction:**
    - Before selling the securities, ensure the balance of the same in your account in order to avoid auction.
    - In case of short delivery of securities by you, the auction debit (penalty) decided as per the auction settlement mechanism of exchange will be passed on to your account.

Know Your Client (KYC)
Application Form (For Individuals Only)

Please fill the form in ENGLISH and in BLOCK letters
Fields marked * are mandatory
Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also

INANI SECURITIES LIMITED

Application Number: _____

Application Type*: ☐ New KYC ☐ Modification KYC

KYC MODE *: Normal.

1. Identity Details (please refer guidelines overleaf)

PAN NO . _____ Please enclose a duly attested copy of your PAN Card

Name* (same as ID proof) _____ _____ _____ _____

Mother Name+ _____ _____ _____ _____

Fathers/Spouse's Name* _____ _____ _____ _____

Date of Birth* _____

Gender* ☐ Male ☐ Female ☐ Transgender

Marital Status* ☐ Single ☐ Married

Nationality* ☐ Indian ☐ Other _____

Residential Status* Please Tick (✓)
☐ Resident Individual ☐ Non Resident Indian
☐ Foreign National ☐ Person of Indian Origin
(Passport mandatory for NRIs, PIOs and Foreign Nationals)

Recent passport size Applicant Photo Signature 2
Cross Signature across photograph

Proof of Identity (POI) submitted for PAN exempted cases (Please tick)

☐ A — Aadhaar Card XXXX XXXX _____
☐ B — Passport Number _____ (Expiry Date) _____
☐ C — Voter ID Card _____
☐ D —Driving License _____ (Expiry Date) _____
☐ E —Telephone Bill _____
☐ F — Gas bill _____
☐ Z —Others _____ (any document notified by Central Government)
Identification Number _____

2. Address Details* (please refer guidelines overleaf)

A. Correspondence/ Local Address*

Line 1* _____
Line 2 _____
Line3 _____
City/Town/Village* _____ District* _____ Pin Code* _____
State* _____ Country* _____
Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Applicant Signature 3

B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)

Line 1* _____

Line 2 _____

Line3 _____

City/ Town/Village* _____ District* _____ Pin Code* _____

State* _____ Country* _____

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Proof of Address* (attested copy of any 1 POA for correspondence and permanent address each to be submitted)

☐ A — Aadhaar Card XXXX XXXX _____

☐ B — Passport Number _____ (Expiry Date) _____

☐ C — Voter ID Card _____

☐ D —Driving License _____ (Expiry Date) _____

☐ E —NREGA Job Card _____

☐ F — NPR Letter _____

☐ Z—Others _____ (any document notified by Central Government)

Identification Number _____

3. Contact Details

Email ID _____

Mobile No. _____ _____

Tel (Off) _____ _____ Tel (Res) _____ _____

4. Applicant Declaration

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

DATE:_____(DD-MM-YYYY)

PLACE: _____

4 Applicant Signature	4 Applicant Signature

5. For Office Use Only

In-Person Verification (IPV) carried out by*	Intermediary Details*
IPV Date _____	☐ Self certified document copies received (OVD)
Emp. Name _____	☐ True Copies of documents received (Attested)
Emp. Code _____	AMC / Intermediary Name :
Emp. Designation _____	
Employee Signature and Stamp	Institution Name and Stamp

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals,(allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity(POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc.,to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN
(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

Please Submit the KYC Documents on A4 Size Paper Only.

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

Important Instructions:

A) Fields marked with '*' are mandatory fields.
B) Please fill the form in English and in BLOCK letters.
C) Please fill the date in DD-MM-YYYY format.
D) Please read section wise detailed guidelines / instructions at the end.
E) List of State / UT code as per Indian Motor Vehicle Act, 1988 is available at the end.
F) List of two character ISO 3166 country codes is available at the end.
G) KYC number of applicant is mandatory for update application.
H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only (To be filled by financial institution)

Application Type* ☐ New ☐ Update

KYC Number (Mandatory for KYC update request)

Account Type* ☐ Normal ☐ Simplified (for low risk customers) ☐ Small ☐ OTP based E-KYC

☐ 1. PERSONAL DETAILS (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
☐ Name* (Same as ID proof)				
Maiden Name				
Father / Spouse Name				
Mother Name				

Date of Birth* D D – M M – Y Y Y Y

Gender* ☐ M- Male ☐ F- Female ☐ T-Transgender

Marital Status* ☐ Married ☐ Unmarried ☐ Others

Citizenship* ☐ IN- Indian ☐ Others (ISO 3166 Country Code)

Residential Status* ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin

Occupation Type* ☐ S-Service (☐ Private Sector ☐ Public Sector ☐ Government Sector) ☐ O-Others (☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student) ☐ B-Business ☐ X- Not Categorised

PHOTO

Signature / Thumb Impression 5

☐ 2. TICK IF APPLICABLE ☐ RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED * (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence*

Tax Identification Number or equivalent (If issued by jurisdiction)*

Place / City of Birth* ISO 3166 Country Code of Birth*

☐ 3. PROOF OF IDENTITY (PoI)* (Please refer instruction C at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

☐ A- Passport Number Passport Expiry Date D D – M M – Y Y Y Y

☐ B- Voter ID Card

☐ C- PAN Card

☐ D- Driving Licence Driving Licence Expiry Date D D – M M – Y Y Y Y

☐ E- UID (Aadhaar)

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government) Identification Number

☐ S- Simplified Measures Account - Document Type code Identification Number

4. PROOF OF ADDRESS (PoA)*

☐ 4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type* ☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Proof of Address* ☐ Passport ☐ Driving Licence ☐ UID (Aadhaar) ☐ Voter Identity Card ☐ NREGA Job Card ☐ Others please specify ☐ Simplified Measures Account - Document Type code

Line 1*

Line 2

Line 3 City / Town / Village*

District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

☐ 4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please see instruction E at the end)

☐ Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill ' Annexure A1 ')

Line 1*

Line 2

Line 3 City / Town / Village*

District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

☐ 4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)

☐ Same as Current / Permanent / Overseas Address details ☐ Same as Correspondence / Local Address details

Line 1*

Line 2

Line 3 City / Town / Village*

State* ZIP / Post Code* ISO 3166 Country Code*

☐ 5. CONTACT DETAILS (All communications will be sent on provided

Tel. (Off) – Tel. (Res) – Mobile –

FAX – Email ID

☐ 6. DETAILS OF RELATED PERSON (In case of additional related persons, ple ase fill 'Annexure B1') (please refer instruction G at the end)

☐ Addition of Related Person ☐ Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* ☐ Guardian of Minor ☐ Assignee ☐ Authorized Representative

Name* Prefix First Name Middle Name Last Name

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [PoI] OF RELATED PERSON* (Please see instruction (H) at the end)

☐ A- Passport Number Passport Expiry Date D D – M M – Y Y Y Y

☐ B- Voter ID Card

☐ C- PAN Card

☐ D- Driving Licence Driving Licence Expiry Date D D – M M – Y Y Y Y

☐ E- UID (Aadhaar)

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government) Identification Number

☐ S- Simplified Measures Account - Document Type code Identification Number

☐ 7. REMARKS (If any) Mobile no. / Email-ID) (Please refer instruction F at the end)

8. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : D D – M M – Y Y Y Y Place :

[Signature / Thumb Impression]

Signature / Thumb Impression of Applicant 6

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies

KYC VERIFICATION CARRIED OUT BY

Date D D – M M – Y Y Y Y

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

[Employee Signature]

INSTITUTION DETAILS

Name

Code

[Institution Stamp]

(A) ACCOUNT OPENING FORM (FOR INDIVIDUAL)

Application No.:

PART I - IDENTITY DETAILS OF APPLICANT/SOLE/FIRST HOLDER

❑KRA-KYC Not Done - (Please fill enclosed KRA-KYC form)

❑KRA-KYC Done - (Please enclose confirmation letter and do not fill KRA-KYC form, however kindly fill up Modification form in case there is change in details as per KRA.)

PART II - TRADING ACCOUNT RELATED DETAILS

Name of Applicant: PAN: DOB:__ /___ /_____

A. OTHER DETAILS

Gross Annual Income Details *Please Tick (✓)*	❑Below 1 Lac ❑1-5 Lac ❑5-10 Lac ❑10-25 Lac ❑>25Lac
Net worth in Rs. *(should not be older than 1 year)*	As on date *(dd/mm/yyyy)*:
Occupation	❑Private Sector Service ❑Public Sector ❑Government Service ❑Business ❑Professional ❑Agriculturist ❑Retired ❑Housewife ❑ Student ❑Forex Dealer ❑Others (Please Specify).....
Please Tick, if applicable	❑Politically Exposed Person ❑Related to Politically Exposed Person
Any other information	

B. BANK ACCOUNT DETAILS

Bank Name	Branch address & Pin Code	Bank A/c no.	Account type*	MICR No.	IFSC code

**A/c type: Savings/Current/Others - in case of NRI/NRE/NRO*

C. DEPOSITORY ACCOUNT(S) DETAILS *(Please attach a copy of Client Master issued by DP if other than Inani Securities Limited)*

Depository Participant Name	Depository Name	Beneficiary Name	DP ID	Beneficiary ID (BOID)
	❑CDSL ❑NSDL			
	❑CDSL ❑NSDL			

D. TRADING PREFERENCES (*Sign in the relevant boxes where you wish to trade. Strike off the segment not chosen by you. If in future, you want to trade on any new segment/new exchange please give separate authorization letter to Inani Securities Ltd.)*

Exchanges/ Segments	NSE	BSE
Cash	**X**	**X**
Futures & Options	**X**	**X**
Currency Derivatives	**X**	**X**
Interest Rate Derivative	**X**	**X**

E. PAST ACTIONS: Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:

.....

F. DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS:

- If client is dealing through the sub-broker, provide these details:
  Sub-broker's Name: ……..…… SEBI Registration number:
  ……
  Registered office address:
  ……..
  Ph: ……..…….. Fax: ……..…… Website:
  ……..……..
- Whether dealing with any other stock broker/sub-broker *(if case dealing with multiple stock brokers/sub-brokers, provide details of all)*
  Name of stock broker: …… Name of Sub-Broker, if any:
  ..……..……..…..
  Client Code: ……..….. Exchange:
  ……..……..…..…..
  Details of disputes/dues pending from/to such stock broker/sub-broker:
  ……..……..……..…

G. ADDITIONAL DETAILS:

1. Whether you wish to receive Physical contract note or Electronic Contract Note (ECN)? (please specify): ……..……..
   Specify your Email id, if applicable: ……..……..……..…
2. Whether you wish to avail of the facility of internet trading/ wireless technology? (please specify): ……..……
3. Number of years of Investment/Trading Experience: ……..……..……
4. Any other information: ……..……..……..……

H. INTRODUCER'S DETAILS:

Name: ……..……
Address: ……..……
Phone no.: …….. Email: ……

Status: ❑Sub-Broker ❑Remisier ❑Authorized Person ❑Existing Client ❑Employee ❑Others, (pls specify) ……

Associate Centre Code/Client Code/Employee Code: ……..……
Signature of the Introducer: ……

I. NOMINATION DETAILS:

❑I/We wish to nominate ❑I/We do not wish to nominate

Name of the Nominee: ……..…….. Relationship with the Nominee: ……..……
PAN of Nominee: …… Date of Birth of Nominee: ……..…..
Address of the Nominee: ……..……
Phone no. of the Nominee: ……..……..
If Nominee is a Minor, details of Guardian:
Name of Guardian: ……..……
Address of Guardian: ……..……
Phone no. of Guardian: ……..… Signature of Guardian ……..……..……

WITNESSES:

Name ……..…….. Name ……..……..
Signature ……..……..…….. Signature ……..……..…
Address …….. Address ……..……..……

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I /We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Place	Date *(dd/mm/yyyy)*	8 **Signature**

INSTRUCTIONS/CHECK LIST

A. Additional documents in case of trading in Derivatives segments – illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets	Self declaration with relevant supporting documents

**In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.*

B. Copy of cancelled cheque leaf/pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.

C. Demat master or recent holding statement issued by DP bearing name of the client.

D. In Person Verification:

(a) Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.

(b) In case of non-resident clients, employees at the stock broker's local office, overseas can do 'in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy/Consulate General in the country where the client resides may be permitted.

FATCA/CRS DECLARATION/SELF CERTIFICATION FOR INDIVIDUAL.

Client Name:

	FFirst/Sole Holder	Second Holder (if any)	**FFFThird Holder** (if any)
Are you U.S. Person? (Refer KYC Handout - Customer Copy)	Yes No	Yes No	Yes No
Specify country of residence for tax purpose (Tax Residency)	India Other	India Other	India Other
Specify country of citizenship	India Other	India Other	India Other

Note: If you are a U.S. person and / or if your tax residency / nationality / citizenship is other than India, then please provide declaration / self certification under FATCA / CRS.

This declaration form can be downloaded from http://www.inanisec.in or call at centralized helpdesk at Tel 1800 229 122 or 022 43486000 write to customer. invester@inanisec.in.

FH 1/16	SH 1/7	TH 1/7
9 (First Holder Signature)	(Second Holder Signature)	(Third Holder Signature)

FOR OFFICE USE ONLY

UCC Code allotted to the Client:

Activity	Employee's /Sub-Broker's/Authorised Person's				Date
	Name	Registration no.	Designation	Signature	
Documents verified with Originals					
Client Interviewed By					
In-Person Verification done by					

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non -mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

....

Signature of the Authorised Signatory Date: *(dd/mm/yyyy)* Seal/Stamp of the Stock broker

BROKERAGE DETAILS

Segment	Brokerage Scheme		Trading 1st Leg	Same day square off	Delivery/Any day
Cash		Min			
		%			
Futures		Min			
		%			
Options		/lot			
Currency Derivatives		Min			
		%			

TARIFF SHEET FOR TRADING ACCOUNT

Particular	Charges	Particular	Charges
Transaction Charges	As applicable	POA Charges	As applicable
Stamp Duty	As applicable	NOW/IBT usage charges	As applicable
Securities Transaction Tax (STT)	As applicable	Clearing Member (CM) charges	As applicable
Service Tax	As applicable	Any other levies	As applicable
SEBI Fees	As applicable	Delayed Payment charges	18% per annum
Exchange/SEBI penalties	As applicable	Courier charges	Rs.25 /- per packet
Bank charges towards the cheques received unpaid & RTGS/NEFT.	As applicable	Inter-Settlement/Beneficiary to Pool Charges (IS\B2P Charges)	Rs.25/-
Account Opening charges	Free	Duplicate document charges	Rs.10/- per page
KRA Registration Charges (if not registered)	Rs.50	Research advisory charges	As applicable

Tariff charges are subject to change as per Central Govt. /State Govt./SEBI/Exchange/Clearing Member/Stock Broker

Place Date *(dd/mm/yyyy)* ⑩ **Signature**.....

(B) VOLUNTARY DOCUMENTS- RUNNING ACCOUNT AUTHORISATION

To,
INANI SECURITIES LIMITED.
G-15, Raghava Ratna Towers,Chirag Ali Lane, Abids,Hyderabad– 500 001
Dear Sir/Madam,

I/We dealing through you as a client, request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused fund/securities towards my/our margin/ pay-in/other future obligation/s at any segment/s of any or all the Exchange/s and to meet margin obligations calculated in the manner specified by the exchanges. This is to facilitate ease of operations and upfront requirement of margin for trade.

I/We agree to settle and/ or request you to settle my fund and securities account (except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt) as below mentioned or such other higher period as allowed by SEBI/Stock exchange from time to time.

❑Quarterly or ❑Monthly (If no check box is selected, Settlement will be done QUARTERLY)

I/We further authorize INANI SECURITIES LTD to retain an amount up to Rs 10,000/- (net amount across segment and across stock exchanges) to address the administrative/operational difficulties in settling my/our account.

I/We further agree and confirm that the powers and authorities conferred by this Authority shall be in force/ continuous and the same can be revoked by me/us any time by giving adequate intimation in writing to INANI SECURITIES LIMITED.

Client Consent:

I/We wish to receive the following documents in 1. By Physical ☐ 2. By Electronic ☐

- **Rights and obligations**
- **Risk disclosure document**
- **Guidance note – dos and don'ts for trading**
- **Tariff Sheet**

Signature of Applicant ⑪ ..... Date: .....

SAME MOBILE NUMBER/EMAIL OR BOTH FOR TRADE CONFIRMATION

I _____ a client of Inani Securities Pvt. Ltd. (ISL(with client code _____ , hereby confirm that I am a family member :☐ Self ☐Spouse ☐Dependent Children ☐Dependent Parent of your client

(herein referred as master client) having client code_____ , I request and give you the mandate to provide the SMS/Email/both (SMS & Email) confirmation on the same mobile number and Email ID as that of the Master client as mentioned in the KYC Application form.

I hereby understand all the risk that can arise in future due to this and take all the responsibilities for the same. I also agree that NBSPL will not be responsible for any loss/problem/unauthorized trading claims if arises in any of the scenario out of this.

Signature of Applicant ⓬ ..... **Date :** .....

(C) MANDATORY ADDITIONAL DOCUMENTS FOR OPENING DEMAT ACCOUNT

TARIFF SHEET FOR DEMAT ACCOUNT

Particular	
Account Maintenance Charges	**Rs.250/- (per annum) for individual's account, pl ask for other schemes**
Transaction charges (Sale)(On/Off Market)	**0.03%(On value of each transaction subject to Min Rs. 10, max of Rs.25/-)**
Instruction Failure	**Rs.15**
Dematerialisation charges	**Rs.2/- per Certificate + Rs.25/- or courier charges whichever is higher**
Demat Rejection charges	**Rs.25/- or courier charges whichever is higher**
Rematerialisation charges	**Rs.15/- for every 100 Security or part thereof + Actual courier charges**
Pledge/Un-pledge/Revocation charges	**Rs.50/- or 0.02% of value peer transaction (whichever is higher)**

- **SERVICE TAX WILL BE CHARGED ADDITIONALLY AS APPLICABLE ON GROSS AMOUNT.**
- **Basic Services Demat Account (BSDA) facility is also available. Please apply declaration format available on our website www.inanisec.in Details regarding eligibility criteria, charge slab, terms and conditions also given on the website.**
- **Actual cost of Stamp Paper/Franking charges shall be collected from clients on upfront basis at the time of A/c opening.**
- **All charges to be collected upfront of client has to keep sufficient credit balance with INANI SECURITIES LIMITED.**

OPTION FORM FOR ISSUE OF DIS BOOKLET

Date (*dd/mm/yyyy*):

DP ID	1	2	0	3	6	2	0	0	Client ID								
	First/Sole Holder								Second Joint Holder								Third Joint Holder
Name																	

To,

The Compliance Officer
INANI SECURITIES LTD.,
G-15, Raghava Ratna Towers, Chirag Ali Lane, Abids,
Hyderabad– 500 001 .

Dear Sir/Madam,

I/We hereby state that: [Select one of the options given below]

❑ **OPTION 1:** I/ We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening my/our CDSL account though I/we have issued a Power of Attorney (POA)/executed PMS agreement in favour of/with INANI SECURITIES LIMITED. for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member/by PMS manager.

Yours faithfully

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Signatures 13	X		

OR

❑ **OPTION 2:** I/We do not require the Delivery Instruction Slip (DIS) for the time being, since I/We have issued a POA/executed PMS agreement in favour of/with INANI SECURITIES LIMITED for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member/by PMS manager. However, the Delivery Instruction Slip(DIS) booklet should be issued to me/us immediately on my/our request at any later date.

Yours faithfully

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Signatures 14	X		

========== (Please Tear Here) ==========

Acknowledgement Receipt

Received OPTION FORM FOR ISSUE/NON ISSUE OF DIS BOOKLET from:

DP ID	1	2	0	3	6	2	0	0	Client ID								
	First/Sole Holder								Second Joint Holder								Third Joint Holder
Name																	

INANI SECURITIES LIMITED Seal and Signature.

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT

FOR OFFICE USE ONLY DP Internal Reference No.

Application No. : Date (*dd/mm/yyyy*):

DP ID	1	2	0	3	6	2	0	0	Client ID								

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/our name as per following details:

HOLDERS DETAILS *(For each holder please fill separate KRA-KYC form **OR** attach acknowledgement copy of KRA-KYC form)*

Sole / First Holder's	Name:													DOB	d	d	m	m	y	y	y	y
	PAN											UID										
Second	Name:													DOB	d	d	m	m	y	y	y	y

Holder's	PAN											UID										
Third Holder's	Name:													DOB	d	d	m	m	y	y	y	y
	PAN											UID										
Name *:																						

**In case of Firms, Association of Persons(AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.*

TYPE OF ACCOUNT *(Please tick whichever is applicable)*

Status	Sub – Status
❑Individual	❑Individual Resident ❑Individual Director ❑Individual Director's Relative ❑Individual HUF/AOP ❑Individual Promoter ❑Minor ❑Individual Margin Trading A/C-(MANTRA) ❑Others (Specify)……
❑NRI	❑NRI Repatriable ❑NRI Non-Repatriable ❑NRI Repatriable Promoter ❑NRI Non-Repatriable Promoter ❑NRI -Depository Receipts ❑Others (Specify) ……..
❑Foreign National	❑Foreign National ❑Foreign National-Depository Receipts ❑Others (Specify)……

DETAILS OF GUARDIAN *(Only if Account Holder is a minor; Joint Holder is not allowed in Minor's Account).*
*[Please fill separate KRA-KYC form **OR** attach acknowledgement copy of KRA-KYC form]*

Guardian's Name:	PAN:
Relationship with applicant:	UID:

========== (Please Tear Here) ==========

Acknowledgement Receipt

Application No.: **Date:**

We hereby acknowledge the receipt of the Account Opening Application Form:

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			

INANI SECURITIES LIMITED Seal and Signature.

OTHER DETAILS	
I/We instruct the DP to receive each and every credit in my/ our account (If not marked, the default option would be 'Yes')	[Automatic Credit] ❑Yes ❑No
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	❑Yes ❑No
Account Statement Requirement	❑As per SEBI Regulation ❑Daily ❑Weekly ❑Fortnightly ❑Monthly
I/We request you to send Electronic Transaction-cum-Holding Statement at the email ID ……	❑Yes ❑No
I/We would like to share the email ID with the RTA	❑Yes ❑No
I/We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)	❑ Physical ❑ Electronic ❑ Both Physical and Electronic
Do you wish to receive dividend/interest directly in to your bank account given below through ECS? (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	❑Yes ❑No

Bank Details [Dividend Bank Details]

Bank/MICR Code			
IFSC code			
Account Number:			
Account type	❑Savings ❑Current ❑Others (specify).....		
Bank Name		Branch Name:	
Bank Branch Address			
	City: State: Country: Pin Code:		

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
(ii) Photocopy of the Bank Statement having name and address of the BO
(iii) Photocopy of the Passbook having name and address of the BO, (or)
(iv) Letter from the Bank.

- In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/mentioned on the document.

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO. +91..... [Mandatory, if BO is giving Power of Attorney (POA)] (If POA is not granted & you do not wish to avail of this facility, cancel this option).	❑Yes ❑No
Transactions Using Secured Texting Facility (TRUST). *(Refer to Terms and Conditions Annexure – 2.6)*	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same .	❑Yes ❑No

I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST

Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)

***E*asi**	To register for *e*asi please visit CDSL's website: www.cdslindia.com . *E*asi allows a BO to view his ISIN balances, transactions and value of the portfolio online.)	❑Yes ❑No

Nomination Form

[Annexure A to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

Inani Securities Limited G-15,Raghav Ratna Towers,Chirag Ali Lane,Abids-500001 Hyderabad-Telangana	**FORM FOR NOMINATION** (To be filled in by individual applying singly or jointly)	

Date :	DD / MM / YYYY	UCC/ DP ID :	12036200	Client ID :	

I/We wish to make a nomination. [As per details given below]

NominationDetails

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

	Nomination can be made upto three nominees in the account.	**Details of 1st Nominee**	**Details of 2nd Nominee**	**Details of 3rd Nominee**
1.	**Name of the nominee(s) (Mr./Ms.)**			
2.	**Share of each Nominee** **Equally** [If not equally, please specify percentage]	%	%	%
		Any odd lot after division shall be transferred to the first nominee mentioned in the form.		
3.	**Relationship With the Applicant (If Any)**			
4.	**Address of Nominee(s)** City / Place: State & Country:			
	PIN Code			
5.	**Mobile/Telephone No. of nominee(s)**			
6.	**Email ID of nominee(s)**			
7.	**Nominee Identification details** [Please tick any one of following and provide details of same]Photograph & Signature PAN Aadhaar Saving Bank account no. Proof of Identity Demat Account ID			
	Sr. Nos.8-14 should be filled only if nominee(s) is a minor:			
8.	**Date of Birth** {in case of minor nominee(s)}			
9.	Name of Guardian (Mr./Ms.) {in case of minor nominee(s) }			
10.	**Address of Guardian(s)** City / Place: State & Country:			
	PIN Code			
11.	**Mobile/Telephone no. of Guardian**			
12.	**Email ID of Guardian**			
13.	**Relationship of Guardian with nominee**			
14.	Guardian Identification details [Please tick any one of following and provide details of same] Photograph & Signature PAN Aadhaar Saving Bank account no. Proof of Identity Demat Account ID			

15 (First/Sole Holder Signature) (Second Holder Signature) (Third Holder Signature)

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

Declaration Form for opting out of nomination
[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

To	Date :	DD / MM / YYYY

Trading Member/Participant's Name :	Inani Securities Limited
Trading Member/Participant's Address :	G-15, Raghav Ratna Towers. Chirag Ali Lane,Abids Hyderabad-Telangana-500001
UCC/DP ID	12036200 UCC
Client ID (only for Demat account)	---------- ----------
Sole/First Holder Name	
Second Holder Name	
Third Holder Name	

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

Name and Signature of Holder(s)*

Name:_____ **Name:**_____ **Name:**_____

16 (First/Sole Holder Signature) (Second Holder Signature) (Third Holder Signature)

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Declaration (ONLY FOR NRI CLIENTS)

(i) I/We hereby declare that I/we am/are Non Resident Indian/s and undertake to intimate you about the change of my/our NRI status from time to time. I/We also declare that all transactions done by me/us in my/our demat account are in compliance with FEMA Regulations and I/we shall abide by it in case of any change in future from time to time as per rules and regulations.

(ii) I/We further declare that whenever there is a change in my/our residential address I/we shall inform the same along with the proof of address to Depository Participant INANI SECURITIES LIMITED in writing.

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Signatures 17			

(B) VOLUNTARY GENERAL TERMS & CONDITIONS DOCUMENT

The client and/or associate are required to note that the below mentioned clauses are non-mandatory as per the Exchange(s)/SEBI requirements but are binding on them if they want to avail the services of "The Member" INANI SECURITIES LIMITED., (hereinafter referred to as 'ISL'). The client and/or associate may revoke any or all the clauses of this document by communicating in writing and in such an event ISL reserves a right to terminate some or all the services offered to them.

1. The client wishes to state that his **EMAIL ID** is ..... ISL shall send digitally signed bills/electronic contract notes (ECN)/margin statements, and other documents such as auto replies from the system of ISL, reports, letters, circulars, notices, statement of accounts for funds/securities, ledger statement/transaction statement/holding statement etc. to client on this e-mail id and shall be valid, legal and binding upon him. The client hereby explicitly agrees to receive and check all such mails regularly. The client also agrees that he will keep adequate space in his mailbox to receive all mails sent by ISL and non-receipt of bounce mail notification by ISL shall tantamount to delivery of electronic contract note to him. Any discrepancies/ changes therefore shall be duly brought to the notice of ISL. Non-receipt of any of these documents shall be intimated to ISL either through e-mail or in writing by the client.
2. The client wishes to state that his Mobile No. is ..... and the client agrees to accept all Research SMS and trade confirmation SMS send by ISL on this mobile no.

TRADING:

3. ISL is requested to accept client's verbal instructions to place/modify/cancel the orders and not insist for the same in writing. The client will not require order slip(s) and trade slip(s) generated by the computer system pertaining to his order/transactions.
4. The client/associate is aware that ISL tape - records the conversation between the client/associate or the client's representative and ISL, either personally or over the telephone, and the client hereby specifically permits ISL to use such records as evidence, as and when required to resolve disputes in connection with the transaction.
5. The cancellation or modification of an order pursuant to the client's request is not guaranteed. The order will be cancelled or modified only if the client's request for cancellation or modification instruction is duly received and the order is successfully cancelled or modified before it is executed, Market orders are subject to immediate execution.
6. ISL and associate will not be responsible for partial execution or unexecution of orders placed by the client. All on-line Best-Market orders would not be presumed as executed unless confirmed as trade by the respective exchanges trading system. The client also agrees that all orders placed by him shall be treated as orders for that particular day only and the same will not be carried forward for the next day.
7. ISL and the client hereby agree that the procedure of sending the contract note, bills, ledgers, transaction statement, reports, letters, circulars, notices, statement of accounts for funds / securities etc. by post (ordinary or registered or speed or UPC), courier, hand delivery to the client is applicable only if so demanded by the client in writing to ISL and who have opted for ECN. Any queries related to the client's contract and / or confirmation or bill shall be brought to the notice of ISL within reasonable time.
8. While ISL would confirm the trades executed on client's account the same day evening, it would be client's duty to bring to the notice of ISL within reasonable time any discrepancy in ILS's trade, failing which it is confirmed that the client have accepted the trade/s in total.
9. Any delay that may occur in sending bills/contracts/payment of funds/deliveries of shares & securities by ISL, which may be due to unforeseen circumstances, is acceptable to the client.

PAYMENTS OF FUNDS AND SECURITIES:

10. All debits/charges incurred by ISL due to bad/short/delayed deliveries made by the client or due to the client's transactions/deeds/actions shall be fully recovered by ISL from the client.
11. Client hereby authorizes ISL to transfer funds from one Segment/Exchange where he/she have a credit balance to another Segment/Exchange having a debit balance, without any specific instruction in this respect, to save on banking and/or operational delays and cost towards transfer of funds.
12. For the purpose of this agreement the term "Dues of Client" shall include the amount of monies payable by the Client including, but not limited to, the purchase price of the securities, Brokerage, Margin money, Service Tax, Turnover Tax, Auction Debit and Charges, Services Charges, other statutory levies as applicable and other amount agreed to and payable by the client to ISL. The client further authorizes ISL to directly debit his account with any charges incurred or defined by ISL & other out of pocket and service related charges with respect to client's trading accounts including those incidental to the margin accounts maintained by ISL on client's behalf.
13. The client/associate hereby confirms to make payments for purchases and clear all debits before pay-in. ISL is authorized not to release securities purchased by the client unless the funds obligations have been paid in full. In case ISL does not receive funds before due date (i.e. pay-in date) they will have all rights to sell the securities without any further intimation to client/associate. The cost and consequences of such action shall be entirely client's responsibility and shall be without any prejudice to ISL right to take any other action to recover the dues.
14. The associate hereby explicitly agrees that the liability and responsibility of the client introduced at ISL, shall be co-extensive with the associate inter-alia, if any such client so introduced defaults on fulfilling his/her obligation to ISL, then the said balance amounts shall become payable by the associate and ISL is within its rights to recover such amounts, so due, from the associate.

MARGINS:

15. The client shall deposit with ISL money's/securities or other property, which may be required to open and/or maintain his account so long as the client desires to avail of the services provided by ISL. All moneys, securities, or other properties, which ISL may hold on client's account, shall be held subject to general lien for the discharge of Clients obligations to member under this agreement.
16. The client's funds/shares lying in the margin account may be used by ISL for the purpose of placing same as margin with the exchange and/or Clearing Member, in any form ISL may deem fit.
17. The amount of security margin deposited with ISL will always be interest free and ISL shall have the right to adjust the same against any outstanding dues in client's account or any such associated account operated by the client with ISL. In the event of closure of account with ISL, the balance of securities, deposit amounts (if any) shall be refunded only after all the transactions in client's accounts across segments are fully settled and/or intimation of closure in writing, whichever is later.

GENERAL:

18. The client and associate, individually and jointly shall indemnify and shall always keep indemnified ISL harmless from and against all claims, demand, actions, proceeding, loss, damages, liabilities, charges and /or may be occasioned to ISL directly or indirectly, owing to bad delivery (as defined by the exchange rules/regulations/byelaws) of shares/securities and/or as a result of fake/forged/stolen shares/securities/transfer documents that are introduced or that may be introduced by or through the client during the course of its dealing/operation on the Stock Exchange. The client hereby agrees to indemnify ISL and associate against all claims including legal costs incurred to defend any claims made by the client. The associate hereby agrees to indemnify ISL against all claims.
19. The client shall always keep himself abreast of all requirements to be complied by him under various laws including the Foreign Exchange Management Act (FEMA), 1999 & SEBI Act, 1992 wherever applicable and the rules, regulations, directions, circulars, notifications or guidelines issued under or pursuant to the relevant laws.
20. The client agrees that it will not indulge in manipulative trades or indulge in any sort of activity related to the trading in securities, which are prohibited under law.
21. The client agrees to furnish such details including details as regards financial position, assets, liabilities etc. every year and as and when required to do so by ISL or associate.
22. Client wishes to inform that with reference to his/her dealings with ISL as constituent, he/she hereby authorizes

    1)..... 2).....

    to place order and to collect & acknowledge various documents such as contracts, bills, ledger statements, cheques or any other documents on my/our behalf and the same will be binding on me/us.
23. Corporate benefits/actions like Rights issued/Open offers etc. where shareholders are required to apply to the company, it is client's responsibility to track announcements of such corporate benefits and apply to the company, if clients so desires.
24. ISL and the client shall be entitled to terminate this agreement without giving any reasons to the other party, after giving notice in writing of not less than one month. Notwithstanding any such termination, all rights, liabilities and obligations of the partie arising out of or in respect of transactions entered into prior to the termination of this agreement shall continue to subsist and vest in/be binding on the respective parties or his/its respective heirs, executors, administrators, legal representatives or successors, as the case may be. The Client is aware and accepts that in view of the nature of the transactions and dealings involved in providing the Service it may not be possible for ISL to give advance notice of such termination or suspension to the Client.
25. In the event of any provisions of this Agreement being held to be or becoming invalid, unenforceable or illegal for any reason, this Agreement shall remain otherwise in full force apart from the said provision which will be deemed deleted. The parties shall however attempt to replace the deleted provision with a legally valid provision that reflects the same purpose as the deleted provision to the greatest extent possible.
26. No forbearance, relaxation or inaction by any party at any time to require the performance of any provision of this Agreement shall in any way affect, diminish, or prejudice the right of such party to require the performance of that or any other provision of this Agreement or be considered to be a waiver of any right unless specifically agreed in writing.
27. Any claim, dispute or difference arising between the Parties hereto in respect of this Agreement or any contracts, dealings, transactions or any rights, obligations, terms or conditions as contained in this Agreement or the interpretation or construction of this Agreement shall be subject to the grievance redressal procedure of the Exchange and shall be subject to the arbitration procedure as prescribed by the Exchange Provisions only.
28. Any notice, information or other communication to be given by the client/associate to ISL in connection with this Agreement shall be addressed to the Compliance Officer.

I/We hereby reconfirm and accept all the terms and conditions mentioned hereinabove. Date: .....

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures 18			

POWER OF ATTORNEY REVOCABLE / LIMITED PURPOSE (VOLUNTARY)

I/ We an individual/a sole proprietary concern/a partnership firm/ a HUF/a Body corporate or any other legal entity registered/ incorporated under the provisions of a relevant act, whose particulars are given hereunder at the execution page hereinafter referred to as Client which expression shall, unless it be repugnant to the meaning or context thereof, be deemed to mean and include my/our heirs, executors, administrators, permitted assigns, the partners of the firm and the survivors of them and the heirs, executors and administrators of the partners, successors in title, trustees of a Trust and their successors, member of the governing body and any new members elected appointed or co-opted as the case may be of a society, having residence/office at the place mentioned at the execution page (residence address for individuals, office address for proprietorship and partnership and Huf and registered office for companies, trusts and societies), do send greetings:

A. The Client is registered / is registering / desires to register with M/s Inani Securities Limited Limited, a Company incorporated under the companies Act, 1956 and having its registered office at G-15,Raghav Ratna Towers Chirag Ali Lane,Abids,Hyderabad-500001-Telangana (hereinafter referred to as the Member which means the stock broking division of Inani Securities Limited) as a client/Constituent, and

B. The Client wants that Member should consider the securities in the demat account (details of which are given on execution page) of Client as margin / collateral / security for dealings of Client done/ to be done/ expected to be done through / with Member; and

C. The Client wants Member to transfer securities to Member's demat BO account (details of which are given on the execution page) for maintaining collateral/ security/ margin; and

D. The Client wants Member to make pay in and pay out of securities (including pay in / pay out due to exercise of rights by Member securities considered as margin/collateral/ security) to stock exchange/ clearing corporations/ clearing house etc. or to Member themselves as may be required from time to time; and

E. The Client wants Member to initiate / create Margin Pledge for Client Securities Margin Pledge Accounts & Client Securities Margin Funding Account (details of which are given on execution page) for margin purpose, in order to meet the margin requirements or collateral or any outstanding dues and obligations towards Client's Trading Account.

F. I/We confirm you that I can revoke the above mentioned authority at any time by giving in writing to you. NOW KNOW YE ALL MEN AND THESE PRESENTS WITNESSETH that I/we the Client do hereby nominate constitute and appoint the said Inani Securities Limited through their Authorized Representative as my/our true and lawful Attorney for me / us and in my / our name and on my / our behalf and at my / our cost and expense to do and / or cause to be done the acts, deeds or things for the limited purpose as under :

1. Securities

I. Transfer of securities held in the beneficial owner account (s) (details of which are given on the execution page) of the Client towards stock exchange related margin/ delivery obligations arising out of trades executed by the Client on the stock exchange through the Member.

2. Member would return to the Client, the securities that may have been received by it erroneously or those securities or fund that it was not entitled to receive from the Client.

3. Details of Beneficial Owner Account of the Client that the Member is entitled to operate is as per schedule - 1. Pursuant to my/our instruction or instruction of my/our Authorised Representative to do all such acts and things as may be necessary to effect the subscription /purchase / redemption or any other transaction for / Initial public offer / follow on public offer / offer for sale (OFS) / right issue /convertible debentures /non-convertible debentures /debtinstruments / buy back of shares or any other like issues and /or Investment product for which services are availed from Inani Securities Limited.

1. To Debit my Ledger Account maintained with ISL for the said application money , fees/charges etc and to discharge all financial obligation relating to the said application undertaken by me/us on any of the stock Exchange.

2. ISL shall not be liable for any loss that may result failure/ inability of electronic connectivity of rejection of my/ our application for any reason whatsoever including non-allotment ofsecurities.

3. To sign any withdrawal form/Revised Bid Form on my/our behalf to withdraw/to revise bid of the online application made by me/ us as described above in the IPO in full or in part, pursuant to withdrawal/revised bid instruction given by me/us through the online IPO application withdrawal facility provided by ISL provided my/our request for withdrawal/revision of bid of IPO application is made to ISL as per procedure prescribed by ISL at least 5 hours prior to time limit prescribed for withdrawal of IPO application. However, I/we understand and confirm that ISL will not be liable for delay, if any, of withdrawal/ revision of bid of IPO application on my/our behalf due to any reason. This document shall be subject to the exclusive jurisdiction of the courts of law at the place of acceptance of this Power of Attorney by the Attorney. I/We do hereby undertake to ratify whatever the said Attorney may lawfully do in & by virtue of these presents. Witness whereof I/we have executed this revocable power of attorney on this_____day of _____at _____Accepted for and on behalf of Member Signed for & on behalf of Member Inani Securities Limited(Stock day of at broking division) in acceptance at For Inani Securities Limited

19 Signature .....

SCHEDULE 1 - LIST OF DEMAT ACCOUNTS

I/We here by authorise the stockbroker/depository participant to send consolidated summary of Clients Scripwise buy and sell positions taken with average rates to the client by way of SMS / Email on a daily basis, not withstanding any other document to the disseminated as specified by SEBI from time to time as per SEBI guidelines.

DP Name	DP ID	BO Account No.
Inani Securities Limited CDSL	**12036200**	**00030371**
Inani Securities Limited NSDL	**IN556451**	**20026798**

Details of the Accounts in which the Shares can be transferred by the Member is as under:

CM BP	CM NAME	NSDL CLIENT ID	CDSL CLIENT ID	CATEGORY
IN556451 **IN609417**	**STOCK HOLDING** **IDBI BANK**	**IN301022** **IN300450**	**20026798** **12064586**	**NSE CM** **BSE CM**
	ISL		**223620000000018**	**CDSL POA ID**
	ISL		**1203620000000129**	**OWN ACCOUNT**
	ISL		**1203620000000186**	**BSE CM**
	ISL		**12036200 00000190**	**BSE POOL ACCOUNT**
	ISL		**1203620000032140**	**Client Unpaid Securities Account**
	ISL		**12036200 00030221**	**MARGIN PLEDGE**
	ISL		**12036200 00030371**	**NSE POOL ACCOUNT**

20 (First/Sole Holder Signature) (Second Holder Signature) (Third Holder Signature)

Signature of Witness:

I / We accept (For Inani Securities Limited)
Member - BSE, NSE,

Name: _____

Address: _____

Authorised Signatory: _____

Date: _____

Please tear here

INANI SECURITIES LIMITED

SEBI Registration Number- INZ000264734, Member-BSE/NSE, Depository ParticipantCDSL

Annexure A

Client Code- _____

Client Name - _____

Demat Debit and Pledge Instruction

I/We here by authorise M/S Inani Securities Limited as per SEBI CIRCULAR no. SEBI/HO/MIR SD/ DoP/P/CIR/2022/44 dated 04/04/2022 regarding Execution of Demat Debit and Pledge instruction (DDPI) for transfer of securities towards deliveries / settlement obligations and pledging / repledging of securities

S.No.	Purpose	Signature of Client*
1.	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	21
2.	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	22

DP Name	DP ID	BO Account No.
Inani Securities Limited- CDSL	12036200	

Details of the Accounts in which the Shares can be Pledged / transferred by the Member is as under :

CM NAME	CDSL CLIENT ID	CDSL CLIENT ID	CATEGORY
ISL	12036200	1203620030221	MARGIN PLEDGE

23 (First/Sole Holder Signature) (Second Holder Signature) (Third Holder Signature)